

March 29, 2013

VIA EMAIL

Sandra Boggs
Recycling and Marketing Development Specialist
Department of Environmental Quality
PO Box 200901
1100 Last Chance Gulch
Helena, MT 59620

Subject: Thermostat Recycling Corporation's 2012 Annual Report

Dear Ms. Boggs:

Attached is TRC's annual collection report for calendar year 2012. TRC has made its best effort to provide a comprehensive report on its efforts to promote the collection program in Montana and improve the program's environmental outcomes. A copy of this report is available at www.thermostat-recycle.org.

TRC continues to market its program in Montana. We are working with HVAC wholesalers and others to increase the visibility of the program at their locations and will continue to push information about the program to HVAC contractors and homeowners in the state.

TRC welcomes the opportunity to review this report with you and discuss our 2012 marketing efforts. I may be reached at 571-447-4312 or by email at mark.tibbetts@thermostat-recycle.org.

Sincere Regards,



Mark Tibbetts
Executive Director

Collection Data

Exhibit 1: 2012 Montana Collections by Brand

Brandholder	Number Thermostats	Number Switches	Total lbs Mercury
Burnham Holdings	1	1	0.01
Carrier	11	18	0.11
Goodman Global	1	2	0.01
Grainger	1	1	0.01
Honeywell	335	383	2.37
Hunter Fan	1	1	0.01
Invensys	2	2	0.01
Lennox	27	34	0.21
Lux Products	3	3	0.02
Sears Holdings	7	9	0.06
Trane	2	3	0.02
White Rogers	27	35	0.22
York/Johnson Controls	1	2	0.01
NOM	5	9	0.06
Loose Switches	0	6	0.04
TOTAL	424	509	3.16

In 2012, TRC recovered 3.16 pounds of mercury from 424 intact mercury thermostats and 6 mercury switches from Montana collection locations.

All thermostats recovered came from HVAC wholesale distributor collection locations.

Waste Mercury-Added Thermostat Management

Bins with waste mercury-switch thermostats are received at the fulfillment/processing center in Golden Valley, Minnesota. The facility is owned and operated by Honeywell International under contract with TRC.

Bins are received at the loading dock and sent to the TRC processing area. The bin and plastic liner are opened and the contents are identified, sorted, and tallied. The following data is recorded for each bin returned and processed: bin number, business name (location name), city, state, zip code, date returned, number of thermostats and mercury switches by manufacturer and any non-conforming material.

The bin is returned to the location that sent it in with a new prepaid address label within 72 hours of receipt. The thermostats are stored and staged in a plastic lined carton in a storage area for final processing. The containers are dated and processed in order received, first in-first out.

The containers are returned from the storage area to the TRC processing area to have the mercury bulbs removed from the plastic housing. Universal Waste Regulations require the disposal of waste within 12 months of generation. TRC's processor requires that the disposal occur within 6 months of generation and TRC follows the more stringent requirement. Small quantities of thermostats are removed from the container, which is then closed again, and placed at the bulb removal workstation on a tray that contains any potential mercury spillage. The bulbs are removed from the thermostats and placed into a 2 quart container at the work station. If a bulb breaks and the mercury spills, the work area is designed to contain the spillage and the operators are trained in the clean-up and disposal of mercury. The TRC processing area is equipped with special mercury vacuum cleaners and the work area is vacuumed at the end of the work day to assure that any spillage is cleaned up and not left to evaporate.

The 2 quart container is emptied into a special 55 gallon drum which is labeled and dated according to regulations. The drum is sealed with a band and is only opened when contents are

being added to it. Special negative pressure venting assures any fumes are drawn away and vented when the drum is opened.

The 55 gallon drum is then shipped to Bethlehem Apparatus Corporation in Hellertown, Pennsylvania for final processing of the mercury ampoules (switches). Bethlehem Apparatus meets or exceeds all local, state, federal and EPA regulations for the management of the product. Bethlehem's approvals for mercury recovery/recycling include:

- EPA - identification No. PAD002390961 (Bethlehem Apparatus Co., Inc.)
- EPA BDAT Requirement - satisfied by all recovery operations
- CERCLA (Comprehensive Environmental Response Compensation and Liability Act)
- Pennsylvania Department of Environmental Protection

The facilities' processing follows all EPA guidelines and regulations. TRC has a facility license from Hennepin County Minnesota for the operation of the TRC. Honeywell, Inc. has a Hazardous Waste Generator license from Hennepin County. All persons who handle mercury thermostats as part of the TRC operation receive training in the handling of Hazardous Waste and Universal Waste.

Program Education and Outreach

TRC marketing and promotion efforts targeted key audiences in Montana. TRC's objectives are to raise awareness of key components of Montana's mercury thermostat law and to encourage the recycling of waste mercury thermostats. Below is a summary of activities and channels we utilized in support of this effort.

Development of Written Materials and Signage for Collection Points and Key

Stakeholders—TRC developed and posted on its website (www.thermostat-recycle.org) a Promotional Toolkit which contains templates of a number of items for collection points to download and reproduce.

In 2012, TRC added one new item to the toolkit: a vehicle sticker (See Exhibit 2) for HVAC contractors to promote their participation in the program. TRC maintains an inventory of these stickers and provides them to contractors at no-cost. They are distributed upon request, and at industry events.

Wholesaler/HHW Engagement—Montana's law requires manufacturers to avail collection containers to HVAC wholesale distributors, thermostat retailers, and local governments upon request.

TRC continued efforts to recruit distributors into the program through direct outreach.

In **May** and again in **August 2012**, TRC sent postcards to collection locations in Montana, the majority of which were wholesale distributors, reminding them to return TRC's containers if they had not done so within a year. The card also provided TRC's toll-free number and email address for additional information or to request replacement shipping labels and/or bin liners.

TRC exhibited at the **February 2012** Johnstone Supply annual meeting held in San Diego. Johnstone Supply is a buying cooperative and annually hosts an invitation-only trade show.

Exhibit 2: Example of New Print Collateral—Vehicle Cling Sticker



In partnership with the Heating, Air-Conditioning & Refrigeration Distributors International (HARDI), TRC re-launched the Mercury Thermostat Recycling Awards in **May 2012**. The award(s) are intended to incent participation in the program by recognizing the distributor(s) that recovered the most mercury thermostats and/or developed innovative strategies to promote the program at their branch location(s).

TRC made several changes following the inaugural 2011 program. To increase participation, TRC branded the award program as the ***Big Man on the Planet*** award and challenged distributors to, “be in it to win it!” TRC developed custom invitation cards and mailed them to the principals of the 300 plus HARDI members to solicit their participation in the award program. Email solicitations were also sent. To facilitate participation, TRC also printed and distributed custom window clings, counter cards, and flyers to participating locations.

To recognize participating distributors, TRC ran a ¼ page full-color advertisement in the **October 2012** edition of *Distribution Center Magazine*, which was also the “show” issue for the HARDI annual meeting.

The award was presented at HARDI’s annual meeting in **October 2012**.

Summary of Additional Education and Outreach by Channel

TRC conducted an array of activities intended to raise awareness of the importance to recycle mercury thermostats in Montana.

Exhibit 3: *Distribution Center Magazine BMOP Advertisement*

The advertisement is a green and orange graphic. On the left is a cartoon superhero character with a yellow hard hat, a blue suit with 'BMOP' on the chest, and a green cape. He is holding a pair of pliers. To the right of the character is the title 'Game on for BMOP.' in large white letters. Below the title is a paragraph of text: 'Thanks go out to the companies listed here who are one step closer to being the 2012 BIG MAN ON PLANET. The winner will be announced in October at HARDI's Annual Conference.' This is followed by another paragraph: 'Each time a mercury thermostat is recycled through us, a clear message is sent: we want to protect the environment by keeping mercury out of the waste stream. And that's why everyone on this list should be proud.' Below that is a third paragraph: 'Keep recycling mercury thermostats. And make sure your team is ready to help you win BIG MAN ON PLANET bragging rights next year.' At the bottom left of the text area is the website 'thermostat-recycle.org'. On the right side, there is a list of company names. At the bottom of the graphic, there are two logos: 'HARDI resources that fuel your competitive advantage Heating, Airconditioning & Refrigeration Distributors International' on the left, and 'Thermostat Recycling CORPORATION an industry-funded non-profit' on the right.

Game on for BMOP.

Thanks go out to the companies listed here who are one step closer to being the 2012 BIG MAN ON PLANET. The winner will be announced in October at HARDI's Annual Conference.

Each time a mercury thermostat is recycled through us, a clear message is sent: we want to protect the environment by keeping mercury out of the waste stream. And that's why everyone on this list should be proud.

Keep recycling mercury thermostats. And make sure your team is ready to help you win BIG MAN ON PLANET bragging rights next year.

thermostat-recycle.org

ZJ Supply
APR Supply Co.
Coburn Supply Co.
HVAC Sales & Supply Co., Inc.
Inco Distributing, Inc.
Johnson Supply
Johnstone Supply, Inc.
Lute Supply
Refrigeration Sales Corporation
RHI Supply
The Star Supply Company
US Air Conditioning Distributors, Inc.
Victor Distributing Company

HARDI resources that fuel your competitive advantage
Heating, Airconditioning & Refrigeration Distributors International

Thermostat Recycling CORPORATION
an industry-funded non-profit

Earned Media—In 2012, TRC continued to enjoy positive and frequent coverage within the industry trade press. TRC issued a number of media releases and most were picked up, generating additional exposure for the program.

Notably, TRC continued to receive coverage in industry leading publications such as *The Air Conditioning and Refrigeration News* (110,000 circulation) and *HVACR Business* (circulation 30,000). HARDI ran a two-page article on the program in its *Conference Daily*, provided at no-cost to all attendees of HARDI's annual meeting.

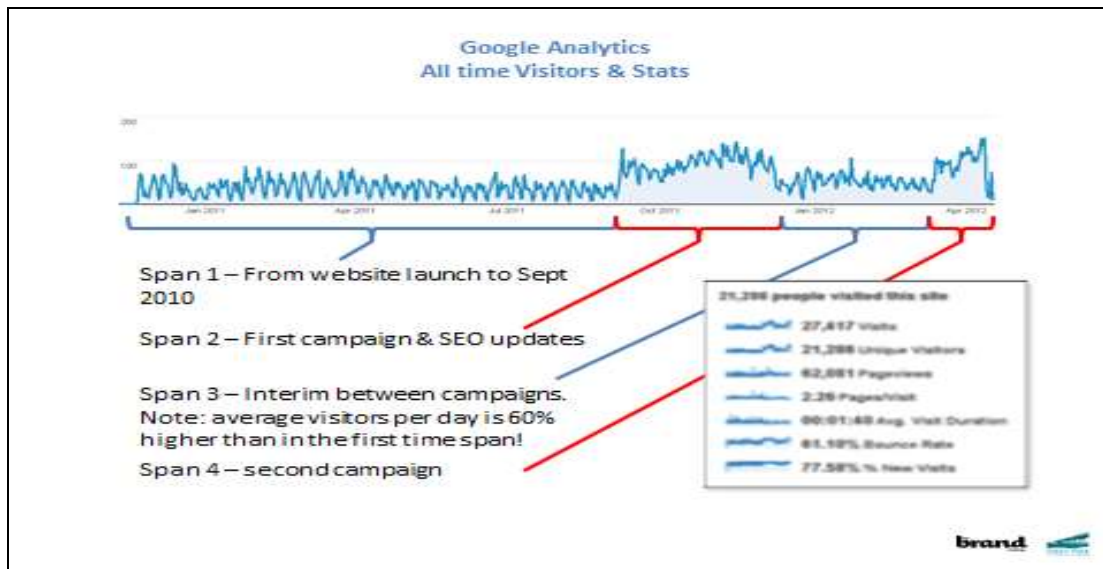
Website— Website traffic continued to grow in 2012. TRC has nearly doubled the monthly visitors to its site following a search engine optimization in fall 2011, along with other efforts to drive traffic to the site. Exhibit 4 below highlights the results of these efforts.

Web-based advertising— TRC continued the use of rotating banner advertisements in 2012, albeit with changes in scheduling and scope.

TRC ran web advertisements (Exhibit 5) on the websites *contractingbusiness.com* (160x600 skyscraper) and *hvac-talk.com* (300x250 medium rectangle) during the months of **September, October, November, and December** (four continuous months in 2012, as opposed to two

consecutive months in the spring and fall in 2011). Together, the websites average over 2 million pages views and 340,000 unique visitors per month. HVAC-Talk.com, an online discussion community for HVAC technicians, boasts 145,000 registered users. HVAC-talk.com accounted for 5% of annual referral traffic to TRC's website.

Exhibit 4: Website Traffic Increase over Time



HVAC-talk.com and contractingbusiness.com, published by Penton Media, Inc., serve a national audience. According to their 2013 media kit, 100% of subscribers specify, design, install/service and/or make product selection for HVAC systems and equipment in residential, commercial, industrial, and institutional buildings.

TRC ran either a 120x600 skyscraper or 728x90 Leaderboard advertisements. The placement generated nearly 30,000 impressions as the newsletter experienced an open rate of over 40%. Copies of the newsletter remain available online continuing to earn TRC exposure.

Exhibit 5: Web Banner Advertisement (300x250 fixed image version)



Ads and landing pages were developed with variable messages targeting both audiences. What made this campaign unique is the fact that it was targeted. Advertisements appeared on Google search results pages after an individual searched terms related to TRC's mission (E.g. thermostat replacement, contracting recycling regulations, mercury thermostat recycling, programmable thermostats, etc.). Similarly, the Facebook campaign targeted users over 18 who "like" industry-relevant topics or organizations (i.e. renovating, renovators, HVAC, HVAC Technicians, home repair, etc.).

Print Advertisement HVACR Business Magazine— TRC placed a ½ page color advertisement in a special section on thermostats in the April addition.

The purpose of this placement was two-fold:

- 1) Continue to build upon brand/program awareness of other promotional campaigns
- 2) Accelerate the pace of mercury thermostat replacement by helping contractors recognize opportunities to generate additional revenues by proactively replacing older mechanical (mercury) thermostats.

HVACR Business is a national publication with approximately 30,000 qualified subscribers, of which approximately 120 are within Montana.

Exhibit 6: Advertisement Copy HVACR Business Magazine



Tradeshows—TRC attended and exhibited at the following trade shows relevant to Montana:

January 23 - 25: AHR Expo. *Chicago, Illinois.* AHR Expo is the largest national trade show for the HVACR industry. TRC staff exhibited and promoted the program to HVAC contractors, manufacturers, and distributors. The show had a total registered attendance of over 61,000.

February 21 - 23: Johnstone Supply Annual Membership Meeting. *San Diego, California.* Johnstone Supply is a cooperative wholesaler distributor of HVAC parts and equipment with 350 locations nationwide. Johnstone's Annual Membership meeting is invitation only and TRC was invited to attend and exhibit as part of an ongoing effort with Johnstone's corporate staff to encourage and expand the cooperative's member's

participation in the program. The event is well attended by owners and senior staff and it was a unique opportunity to engage directly with key decision makers.

March 5 - 7: Indoor Air Expo. Las Vegas, Nevada. The show is a part of the Air Conditioning Contractors of America annual meeting. Approximately 700 attendees attended the event.

September 19 - 21: Comfortech. *Chicago*, Illinois. Comfortech is a national tradeshow for owners and managers of residential and commercial HVACR, Hydronics/Plumbing contracting firms to see the latest products and equipment.

October 8 - 12: ACCA Building Performance and Service Managers Forum. Austin, Texas. A week-long series of educational seminars focusing on building performance and service managers sponsored by the Air Conditioning Contractors of America. TRC exhibited and sponsored the registration bags.

October 6 - 9: Heating, Air-Conditioning & Refrigeration Distributors International (HARDI). Orlando, Florida. TRC exhibited and participated in the “Booth Program,” which provides for 1-on-1 sessions with senior executive staff from HARDI member companies. This event targeted representatives of approximately 80% of the wholesale market for HVACR products. TRC also presented its re-vamped “Big Man on the Planet Award.”

Direct Mail— TRC continued its direct mail campaign in Montana in 2012. TRC mailed a full-color postcard (see Exhibit 7) to the principals of approximately 700 Montana HVAC contracting businesses in **May, September, and November**. TRC sourced the list of HVAC contractors from a commercial list supplier.

Exhibit 7: Front & Back of Postcard



Miscellaneous Outreach—It is difficult to categorize and capture many of TRC’s activities in a narrative based report. While paid placements and copy is easy to report, social media and other activities are more difficult to capture. Some good examples of “miscellaneous” outreach include:

- TRC staff was invited by Honeywell International to present two webcasts through its 2012 “elearning LIVE schedule.” The first was entitled “Thermostat Mercury Recovery an Easy way to be Green,” and a second was entitled, “Understanding Health, Safety and Regulations in Managing Mercury Waste.” Archived copies remain available on Honeywell’s website at www.forwardthinking.honeywell.com/training/videos.html.
- TRC continued to encourage the placement of links to its website on member company websites and is pleased to report that links from members such as Honeywell, Emerson/White Rodgers, and Lennox are significant sources of referral traffic to TRC’s website.
- TRC provided Honeywell rights for the use of its updated logo in product collateral. For example, the following was cut from product literature:



- TRC staff made considerable effort conducting direct outreach to senior management of HVAC wholesale distributors in an effort to 1) better integrate the program at their branch locations to ensure staff awareness of the program and program procedures and 2) leverage distributors’ position in the channel to promote the availability of the program to their customers.

Program Expenses

TRC is a national voluntary program that is also operating nine mandatory programs on behalf of its manufacturer members. As most promotional activities are run concurrently in multiple states, tracking and isolating expenses specifically to Montana is not possible. Below is a summary of TRC’s national program expenses for 2012. TRC expenses include:

- **TRC Staff and Administration:** Includes staff, office expenses, telecommunications, information technology, office supplies, office lease, and other administrative expenses. Includes staff labor costs to implement Montana program.
- **Insurance:** Pollution and liability insurance.

- TRC made \$47,166 in direct incentive payments on behalf of manufacturers as required by Maine and Vermont law. This does not reflect the administrative costs associated with the incentive program.
- Travel: All travel in 2012 and includes travel to trade shows to promote program.
- Recycling Costs: All costs (including labor) associated with transporting, processing, and properly managing waste thermostats. Also includes cost associated with fulfilling new bin orders and data entry of bin receipts.
- New Collection Containers: Direct cost for new containers ordered in 2011.
- Marketing/Outreach & Printing: Includes direct costs to develop and print program collateral; direct mail, national and state advertising, sponsorships, marketing consultants, some web consulting, and other outreach activities. Marketing/Outreach does not include any TRC labor costs.

Exhibit 9: 2012 Program Administrative Expenses

Program Component	TRC Program
TRC Staff and Administration [†]	\$246,162
Recycling Costs	335,613.25
Insurance	\$2,430
Statutory Incentive Payments	\$47,166
New Collection Containers	\$27,495
Travel	\$25,994
Legal	\$66,303
Direct Expense for Marketing & Outreach	\$169,840
Total (expenses)	\$921,004

Recommendations/Next Steps

Montana's thermostat law went into effect in 2009 and collections have now reached nearly 500 units annually, albeit slightly below the goal of 512 units. TRC is optimistic that collections will increase in 2013 and sets the goal of 600 units for year.

However, the lack of a disposal ban continues to be an issue. There is no "trigger" to affect behavior in Montana. In all other states with mandatory programs the disposal ban serves as a call to action (e.g. "it's the law") for HVAC contactors and consumers to begin to recycle. This also continues to make it difficult to leverage much of TRC's marketing effort. The disposal ban is central to TRC's message and it was unable to include the Montana program in many promotional activities.

Additionally, collection point participation remains low. Efforts to engage more than the handful of HVAC wholesalers that are currently participating have been unsuccessful.

In an effort to sustain and increase collections, TRC plans on the following modifications to the Montana program:

- Expanding the scope and frequency of paid advertising targeting the trade channel in Montana. TRC has shifted its strategy to one of more frequency to saturate the market over the course of year.
- TRC added staff at the start of 2013 by hiring a full-time marketing and communications person. This allows the program to expand both the scope of its marketing activities and also shift current staff to focus on program operations and customer support, including more active and constant contact with TRC's collection location partners. TRC will also have a paid summer intern in 2013.
- At the time of the report, TRC is completing a series of training videos for both collection points (wholesalers) and contractors. These short videos (less than 3 minutes) will be posted on TRC's website, YouTube, and other social media. It will be provided to HVAC technical training programs, wholesale distributors and HVAC industry trade groups. TRC also plans on distributing the videos on thumb drives at industry events and trade shows.
- TRC will continue its direct mail campaign in Montana in 2013.
- TRC will continue to send "reminder" postcards to collection locations in 2013. Investments in TRC's database will enable the program to better target certain locations and encourage participation.
- TRC will more actively use social media as a means to engage with the HVAC industry and promote program participation and recognition.
- Will continue efforts to engage wholesale distributors with locations in the state and encourage participation in compliance with Montana law.

APPENDIX A: 2012 THERMOSTAT RECOVERY TOTALS BY LOCATION (note—this report rolls all bin receipts into aggregate collections by each location with some exceptions)

Business Type	Company Name	City	Zip	Number Thermostats	Number Thermostat Switches	Number Loose Switches	Total Switches	Total lbs Mercury
Wholesalers	GENSCO	MISSOULA	59801	96	109	0	109	0.6758
Wholesalers	GENSCO	BILLINGS	59102	5	5	0	5	0.031
Wholesalers	GENSCO INC.	BILLINGS	59102	75	87	0	87	0.5394
Wholesalers	JOHNSTONE SUPPLY CO	BILLINGS	59101	86	109	0	109	0.6758
Wholesalers	JOHNSTONE SUPPLY CO	MISSULA	59801	65	92	6	98	0.6076
Wholesalers	THERMAL SUPPLY	GREAT FALLS	59405	97	101	0	101	0.6262

APPENDIX B: Montana locations have requested or been provided recycling containers and last date of shipment

Type	Name	Address	City	Zip	Count bins	Date last shipment
Retailers	Ace Hardware	714 N. 1ST	HAMILTON	59840	1	
HHW Facility	CITY OF BOZEMAN	2143 STORY MILL ROAD	BOZEMAN	59771	1	
Wholesalers	GENSCO	150 SOUTH CATLIN STREET	MISSOULA	59801	1	2/23/2012
Wholesalers	GENSCO	465 SOUTH 18TH STREET W.	BILLINGS	59102	1	12/19/2012
Wholesalers	GENSCO INC.	465 SOUTH 18TH STREET WEST	BILLINGS	59102	2	12/19/2012
Wholesalers	JOHNSTONE SUPPLY CO	5253 SOUTHGATE DR	BILLINGS	59101	1	8/28/2012
Wholesalers	JOHNSTONE SUPPLY CO	734 HOLMES	MISSULA	59801	2	6/7/2012
Retailers	MONTANA ACE HARDWARE	2301 BROOKS STREET	MISSOULA	59801	1	
Retailers	MONTANA ACE HARDWARE	905 E. BROADWAY	MISSOULA	59802	4	
Wholesalers	MOUNTAIN SUPPLY CO.	2101 MULLEN ROAD	MISSOULA	59808	1	
Retailers	NELSON'S ACE HARDWARE	224 CENTRAL AVE	WHITEFISH	59937	1	
Wholesalers	NORTHWEST PIPE FITTING	404 17TH AVE NE	GREAT FALLS	59404	1	
Retailers	OWENHOUSE ACE HARDWARE	36 E. MAIN	BOZEMAN	59715	1	
Wholesalers	RSD	108 MOORE LANE	BILLINGS	59102	1	
Wholesalers	RSD	601 3RD AVENUE SO	GREAT FALLS	59405	1	
Wholesalers	RSD REFRIG SUPPLIES DIST	108 MOORE LANE	BILLINGS	59101	1	
Wholesalers	THERMAL SUPPLY	100 COMMERCIAL AVE	GREAT FALLS	59405	1	7/12/2012
Wholesalers	THERMAL SUPPLY	546 S. 18TH WEST	BILLINGS	59102	1	

Appendix C: TRC 2011 Form 990

Form 8879-EO Department of the Treasury Internal Revenue Service	IRS e-file Signature Authorization for an Exempt Organization For calendar year 2011, or fiscal year beginning _____, 2011, and ending _____, 20____ ▶ Do not send to the IRS. Keep for your records. ▶ See instructions.	OMB No. 1545-1878 <div style="font-size: 2em; font-weight: bold;">2011</div>
Name of exempt organization THERMOSTAT RECYCLING CORPORATION		Employer identification number 54-1830284
Name and title of officer MARK TIBBETTS EXECUTIVE DIRECTOR		
Part I Type of Return and Return Information (Whole Dollars Only)		
Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.		
1a Form 990 check here <input checked="" type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12)	1b 835147
2a Form 990-EZ check here <input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9)	2b
3a Form 1120-POL check here <input type="checkbox"/>	b Total tax (Form 1120-POL, line 22)	3b
4a Form 990-PF check here <input type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part VI, line 5)	4b
5a Form 8868 check here <input type="checkbox"/>	b Balance Due (Form 8868, Part I, line 3c or Part II, line 8c)	5b
Part II Declaration and Signature Authorization of Officer		
Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2011 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.		
Officer's PIN: check one box only		
<input checked="" type="checkbox"/> I authorize DIXON HUGHES GOODMAN LLP to enter my PIN 22102 <div style="text-align: center;">ERO firm name</div> <div style="text-align: right;">Enter five numbers, but do not enter all zeros</div>		
as my signature on the organization's tax year 2011 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.		
<input type="checkbox"/> As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2011 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.		
Officer's signature _____ Date _____		
Part III Certification and Authentication		
ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.		
<div style="border: 1px solid black; padding: 2px; display: inline-block;">5492222201</div> do not enter all zeros		
I certify that the above numeric entry is my PIN, which is my signature on the 2011 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-file (MeF) Information for Authorized IRS e-file Providers for Business Returns.		
ERO's signature _____ Date _____		
ERO Must Retain This Form - See Instructions Do Not Submit This Form To the IRS Unless Requested To Do So		
LHA For Paperwork Reduction Act Notice, see instructions. Form 8879-EO (2011)		

Form 990 Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation) ▶ The organization may have to use a copy of this return to satisfy state reporting requirements.	OMB No. 1545-0047 2011 Open to Public Inspection																								
A For the 2011 calendar year, or tax year beginning and ending																										
B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization THERMOSTAT RECYCLING CORPORATION Doing Business As TRC Number and street (or P.O. box if mail is not delivered to street address) Room/suite 1300 NORTH 17TH STREET 1752 City or town, state or country, and ZIP + 4 ARLINGTON, VA 22209 F Name and address of principal officer MARK TIBBETTS SAME AS C ABOVE	D Employer identification number 54-1830284 E Telephone number 703-841-3200 G Gross receipts \$ 835,147. H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶																								
I Tax-exempt status: <input type="checkbox"/> 501(c)(3) <input checked="" type="checkbox"/> 501(c) (6) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527																										
J Website: ▶ WWW.THERMOSTAT-RECYCLE.ORG																										
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶ L Year of formation: 1996 M State of legal domicile: DE																										
Part I Summary																										
Activities & Governance	1 Briefly describe the organization's mission or most significant activities: TO PROMOTE THE SAFE COLLECTION AND PROPER DISPOSAL OF MERCURY-CONTAINING THERMOSTATS. 2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets. 3 Number of voting members of the governing body (Part VI, line 1a) 4 4 Number of independent voting members of the governing body (Part VI, line 1b) 4 5 Total number of individuals employed in calendar year 2011 (Part V, line 2a) 0 6 Total number of volunteers (estimate if necessary) 0 7a Total unrelated business revenue from Part VIII, column (C), line 12 0. 7b Net unrelated business taxable income from Form 990-T, line 34 0.																									
Revenue	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Prior Year</th> <th>Current Year</th> </tr> </thead> <tbody> <tr> <td>8 Contributions and grants (Part VIII, line 1h)</td> <td style="text-align: right;">0.</td> <td style="text-align: right;">0.</td> </tr> <tr> <td>9 Program service revenue (Part VIII, line 2g)</td> <td style="text-align: right;">671,686.</td> <td style="text-align: right;">834,908.</td> </tr> <tr> <td>10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)</td> <td style="text-align: right;">418.</td> <td style="text-align: right;">239.</td> </tr> <tr> <td>11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)</td> <td style="text-align: right;">0.</td> <td style="text-align: right;">0.</td> </tr> <tr> <td>12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)</td> <td style="text-align: right;">672,104.</td> <td style="text-align: right;">835,147.</td> </tr> </tbody> </table>			Prior Year	Current Year	8 Contributions and grants (Part VIII, line 1h)	0.	0.	9 Program service revenue (Part VIII, line 2g)	671,686.	834,908.	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	418.	239.	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0.	0.	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	672,104.	835,147.						
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Part II Signature Block																										
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.																										
Sign Here	Signature of officer MARK TIBBETTS, EXECUTIVE DIRECTOR Type or print name and title																									
Paid Preparer Use Only	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Print/Type preparer's name CHARLES DIETZ, III, CPA</td> <td>Preparer's signature</td> <td>Date</td> <td>Check if self-employed <input type="checkbox"/></td> <td>PTIN P00058595</td> </tr> <tr> <td>Firm's name ▶ DIXON HUGHES GOODMAN LLP</td> <td colspan="2">Firm's EIN ▶ 56-0747981</td> <td colspan="2"></td> </tr> <tr> <td>Firm's address ▶ 1410 SPRING HILL ROAD, STE 500 TYSONS, VA 22102</td> <td colspan="4">Phone no. 703.970.0400</td> </tr> </table>		Print/Type preparer's name CHARLES DIETZ, III, CPA	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00058595	Firm's name ▶ DIXON HUGHES GOODMAN LLP	Firm's EIN ▶ 56-0747981				Firm's address ▶ 1410 SPRING HILL ROAD, STE 500 TYSONS, VA 22102	Phone no. 703.970.0400												
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May the IRS discuss this return with the preparer shown above? (see instructions) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No																										

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Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response to any question in this Part III ☐

- 1 Briefly describe the organization's mission:
NONE

- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

- 4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 768,386. including grants of \$) (Revenue \$)
TRC FACILITATES THE PROPER MANAGEMENT OF WASTE MERCURY THERMOSTATS BY PROVIDING RECYCLING CONTAINERS FOR THE COLLECTION AND TRANSPORT OF WASTE MERCURY THERMOSTATS TO ELIGIBLE COLLECTION SITES IN ALL US STATES, EXCEPT ALASKA AND HAWAII. TRC ALSO CONDUCTS AN EDUCATIONAL CAMPAIGN PROMOTING THE PROPER MANAGEMENT OF WASTE MERCURY THERMOSTATS. SINCE TRC'S FOUNDING, TRC HAS COLLECTED OVER 1,000,000 MERCURY-CONTAINING THERMOSTATS - THAT HAS KEPT 4.9 TONS OF MERCURY OUT OF THE WASTE SYSTEM.

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

- 4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 768,386.

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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>		X
2 Is the organization required to complete Schedule B, Schedule of Contributors?		X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	X	
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>		X
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>		X
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>		X
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

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Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and II		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

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Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V ☐

	1a	1b	1c	2a	2b	3a	3b	4a	5a	5b	5c	6a	6b	7a	7b	7c	7d	7e	7f	7g	7h	8	9a	9b	10a	10b	11a	11b	12a	12b	13a	13b	13c	14a	14b
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	7																																		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		0																																	
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			X																																
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		0																																	
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)																																			
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?																																			
b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O																																			
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?																																			
b If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.																																			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?																																			
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?																																			
c If "Yes," to line 5a or 5b, did the organization file Form 8866-T?																																			
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?																																			
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?																																			
7 Organizations that may receive deductible contributions under section 170(c).																																			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?																																			
b If "Yes," did the organization notify the donor of the value of the goods or services provided?																																			
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?																																			
d If "Yes," indicate the number of Forms 8282 filed during the year																																			
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?																																			
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?																																			
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?																																			
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?																																			
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?																																			
9 Sponsoring organizations maintaining donor advised funds.																																			
a Did the organization make any taxable distributions under section 4966?																																			
b Did the organization make a distribution to a donor, donor advisor, or related person?																																			
10 Section 501(c)(7) organizations. Enter:																																			
a Initiation fees and capital contributions included on Part VIII, line 12																																			
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities																																			
11 Section 501(c)(12) organizations. Enter:																																			
a Gross income from members or shareholders																																			
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)																																			
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?																																			
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year																																			
13 Section 501(c)(29) qualified nonprofit health insurance issuers.																																			
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.																																			
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans																																			
c Enter the amount of reserves on hand																																			
14a Did the organization receive any payments for indoor tanning services during the tax year?																																			
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O																																			

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

☒**Section A. Governing Body and Management**

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	4	
b Enter the number of voting members included in line 1a, above, who are independent	4	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
6 Did the organization have members or stockholders?	6	X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: a The governing body?	8a	X
b Each committee with authority to act on behalf of the governing body?	8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	X
13 Did the organization have a written whistleblower policy?	13	X
14 Did the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO, Executive Director, or top management official	15a	X
b Other officers or key employees of the organization	15b	X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► CA, MT

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ►
MARK TIBBETTS - 703-841-3200
1300 NORTH 17TH STREET, NO. 1752, ARLINGTON, VA 22209

320008
01-23-12

Form 990 (2011)

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[illegible]

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 1

Section B. Independent Contractors

(A) Name and business address	(B) Description of services	(C) Compensation
HONEYWELL INTERNATIONAL, 1985 DOUGLAS DRIVE, GOLDEN VALLEY, MN 55422-3992	ADMINISTRATIVE AND RECYCLING SERVICES	300,096.

Form 990 (2011)

Form 990 (2011) **THERMOSTAT RECYCLING CORPORATION** 54-1830284 Page 9**Part VIII Statement of Revenue**

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f				
	g Noncash contributions included in lines 1a-1f: \$					
	h Total. Add lines 1a-1f					
Program Service Revenue	2 a <u>MEMBERSHIP DUES</u>	Business Code 900099	802,749.	802,749.		
	b <u>SITE PARTICIPATION FEE</u>	900099	32,159.	32,159.		
	c					
	d					
	e					
	f All other program service revenue					
	g Total. Add lines 2a-2f		834,908.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		239.			239.
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6 a Gross rents	(i) Real (ii) Personal				
	b Less: rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
	b Less: cost or other basis and sales expenses					
	c Gain or (loss)					
	d Net gain or (loss)					
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a				
	b Less: direct expenses	b				
	c Net income or (loss) from fundraising events					
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities					
10 a Gross sales of inventory, less returns and allowances	a					
b Less: cost of goods sold	b					
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code				
11 a						
b						
c						
d All other revenue						
e Total. Add lines 11a-11d						
12 Total revenue. See instructions.		835,147.	834,908.	0.	239.	

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Form 990 (2011)

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THERMOSTAT RECYCLING CORPORATION

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Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX ☐

Do not include amounts reported on lines 5b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	143,277.			
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	53,611.			
8 Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	7,981.			
11 Fees for services (non-employees):				
a Management				
b Legal	93,272.			
c Accounting	8,500.			
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other	23,331.			
12 Advertising and promotion	65,312.			
13 Office expenses	38,474.			
14 Information technology	31,209.			
15 Royalties				
16 Occupancy				
17 Travel	28,108.			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance	13,945.			
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24a. If line 24a amount exceeds 10% of line 25, column (A) amount, list line 24a expenses on Schedule O.)				
a HONEYWELL REIMBURSEMENT	299,877.			
b INCENTIVE PAYMENTS	37,860.			
c BINS EXPENSE	18,859.			
d SPONSORSHIP AND MEMBERS	7,204.			
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	870,820.			
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

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Form 990 (2011)

THERMOSTAT RECYCLING CORPORATION

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Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	66,595.	1	60,677.
	2 Savings and temporary cash investments	100,905.	2	101,016.
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net	16,000.	4	2,025.
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	6,498.	9	8,479.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a		
	b Less: accumulated depreciation	10b	10c	
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
15 Other assets. See Part IV, line 11		15		
16 Total assets. Add lines 1 through 15 (must equal line 34)	189,998.	16	172,197.	
Liabilities	17 Accounts payable and accrued expenses	324,829.	17	342,701.
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		25	
	26 Total liabilities. Add lines 17 through 25	324,829.	26	342,701.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	-134,831.	27	-170,504.
	28 Temporarily restricted net assets		28	
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	-134,831.	33	-170,504.
34 Total liabilities and net assets/fund balances	189,998.	34	172,197.	

Form 990 (2011)

Form 990 (2011)

THERMOSTAT RECYCLING CORPORATION

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Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response to any question in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	835,147.
2	Total expenses (must equal Part IX, column (A), line 25)	2	870,820.
3	Revenue less expenses. Subtract line 2 from line 1	3	-35,673.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	-134,831.
5	Other changes in net assets or fund balances (explain in Schedule O)	5	0.
6	Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	-170,504.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response to any question in this Part XII ☒

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant?	X	
b Were the organization's financial statements audited by an independent accountant?		X
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Form 990 (2011)

SCHEDULE C
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.**

OMB No. 1545-0047

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If the organization answered "Yes" to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" to Form 990, Part IV, line 5 (Proxy Tax), or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization

THERMOSTAT RECYCLING CORPORATION

Employer identification number

54-1830284

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political expenditures ▶ \$

3 Volunteer hours ▶

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$

4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2011

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Schedule C (Form 990 or 990-EZ) 2011 **THE THERMOSTAT RECYCLING CORPORATION** 54-1830284 Page 2**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.															
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a. If zero or less, enter -0-															
i Subtract line 1f from line 1c. If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes	<input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2011

SCHEDULE O
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

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Inspection

Name of the organization

THERMOSTAT RECYCLING CORPORATION

Employer identification number

54-1830284

FORM 990, PART VI, SECTION A, LINE 6: THE INITIAL MEMBERS OF THIS CORPORATION SHALL BE GENERAL ELECTRIC CORPORATION, WHITE-RODGERS CORPORATION, AND HONEYWELL INC. EACH SUCH CORPORATION SHALL BE DEEMED AN ORIGINAL MEMBER OF THE CORPORATION, AND ALL THREE CORPORATIONS MAY BE REFERRED TO COLLECTIVELY IN THE BY-LAWS AS THE ORIGINAL MEMBERS.

FROM TIME TO TIME, THE BOARD OF DIRECTORS MAY INVITE OTHER THERMOSTAT MANUFACTURERS TO PARTICIPATE AS MEMBERS IN THE CORPORATION. SUCH A CORPORATION SHALL BECOME A MEMBER ONLY UPON PAYMENT OF FEES AS PROVIDED UNDER ARTICLE VII OF THE BY-LAWS.

FORM 990, PART VI, SECTION A, LINE 7A: THERE SHALL BE A NOMINATING COMMITTEE OF THE BOARD OF DIRECTORS, WHICH SHALL CONSIST OF THREE DIRECTORS, ALL OF WHOM ARE EMPLOYED BY ORIGINAL MEMBERS. ONE MONTH PRIOR TO THE ANNUAL MEETING OF THE CORPORATION, THE NOMINATING COMMITTEE SHALL APPROVE A SLATE OF NOMINEES MEETING THE QUALIFICATIONS SET FORTH IN SECTION 2 TO BE SUBMITTED TO THE MEMBERS FOR ELECTION AT THE ANNUAL MEETING.

FORM 990, PART VI, SECTION B, LINE 11: A COPY OF FORM 990 IS PROVIDED TO ALL GOVERNING MEMBERS BEFORE IT IS FILED. A REASONABLE AMOUNT OF TIME IS ALLOWED FOR THE GOVERNING MEMBERS TO REVIEW THE FORM 990 AND PROVIDE COMMENTS.

FORM 990, PART VI, SECTION B, LINE 15: COMPENSATION IS ESTABLISHED BY THE NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA). NEMA UTILIZES INDEPENDENT CONSULTANTS AND COMPARABILITY DATA, AMONG OTHER METHODS, TO

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132211
01-23-12

Schedule O (Form 990 or 990-EZ) (2011)

Schedule O (Form 990 or 990-EZ) (2011)

Page 2

Name of the organization

THERMOSTAT RECYCLING CORPORATION

Employer identification number

54-1830284

DETERMINE THE COMPENSATION OF THE ORGANIZATION'S EXECUTIVE DIRECTOR.

FORM 990, PART VI, SECTION C, LINE 19: TRC MAKES ITS GOVERNING DOCUMENTS,
CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE
PUBLIC UPON REQUEST (VIA E-MAIL OR MAIL).

PART XII, LINE 2C - THIS PROCESS HAS REMAINED UNCHANGED FROM THE PRIOR
YEAR.

COPY